#### A simple model of trees for unicellular maps

Valentin Féray joint work with Guillaume Chapuy (LIAFA) and Éric Fusy (LIX) All the figures of this talk have been made either by Guillaume (maps) or by Éric (trees), thanks to them.

LaBRI, CNRS, Bordeaux

Groupe de travail Combinatoire énumérative et algébrique Bordeaux, 13 janvier 2012



Unicellular maps are trees!

GT CÉA, 2012-01

We consider unicellular maps.

• Counting this object is a problem related to matrix integrals, symmetric group algebra, ...



< 67 ▶

We consider unicellular maps.

• Counting this object is a problem related to matrix integrals, symmetric group algebra, ...



• Unicellular = "global condition"  $\Rightarrow$  hard to handle

We consider unicellular maps.

• Counting this object is a problem related to matrix integrals, symmetric group algebra, ...



- Unicellular = "global condition"  $\Rightarrow$  hard to handle
- We put them in bijection with decorated trees.



We consider unicellular maps.

• Counting this object is a problem related to matrix integrals, symmetric group algebra, ...



- Unicellular = "global condition"  $\Rightarrow$  hard to handle
- We put them in bijection with decorated trees.



Trees = "recursive structure" ⇒ easy to handle
 ⇒ combinatorial proofs of a lot of formulas.

GT CÉA, 2012-01 2 / 16

We consider unicellular maps.

• Counting this object is a problem related to matrix integrals, symmetric group algebra, ...



- Unicellular = "global condition"  $\Rightarrow$  hard to handle
- We put them in bijection with decorated trees.



- Trees = "recursive structure" ⇒ easy to handle
  ⇒ combinatorial proofs of a lot of formulas.
- The bijection converses a lot of structure <sup>(a)</sup>, but is not explicit <sup>(a)</sup>.



Presentations of the objects

Existence of a bijection 2



Combinatorial proofs of enumerative formulas for maps

V. Féray (with G. Chapuy and E. Fusy)

Unicellular maps are trees!

► < ∃ > GT CÉA, 2012-01 3 / 16

< 67 ▶

3

3 equivalent descriptions A graph drawn of a 2-dimension surface such that the complementary is homeomorphic to an open disc.



genus of the surface  $g = 1/2 \cdot (|E| + 1 - |V|).$ 

3 equivalent descriptions A graph drawn of a 2-dimension surface such that the complementary is homeomorphic to an open disc.



genus of the surface  $g = 1/2 \cdot (|E| + 1 - |V|).$ 

A graph with a cyclic order of edges around vertices such that there is only one face



3 equivalent descriptions A graph drawn of a 2-dimension surface such that the complementary is homeomorphic to an open disc.



genus of the surface  $g = 1/2 \cdot (|E| + 1 - |V|).$ 

A merging of the edges of a polygon



3 equivalent descriptions A graph drawn of a 2-dimension surface such that the complementary is homeomorphic to an open disc.



genus of the surface  $g = 1/2 \cdot (|E| + 1 - |V|).$ 

A merging of the edges of a polygon



There are (2n - 1)!! rooted unicellular maps with *n* edges.

But it is hard to count them with a prescribed genus (or number of vertices).

イロト イポト イヨト イヨト

## C-permutation

#### Definition

- A C-permutation of size n + 1 is a permutation of n + 1
  - with only cycles of odd lengths;
  - with the additional data of a sign for each cycle.

$$\begin{array}{c} + 3 \\ + 4 \\ 0 \\ 0 \\ - 8 \\ - 8 \\ 0 \\ - 8 \\ -$$

V. Féray (with G. Chapuy and E. Fusy)

3 N ( 3 N

## C-permutation

#### Definition

- A C-permutation of size n + 1 is a permutation of n + 1
  - with only cycles of odd lengths;
  - with the additional data of a sign for each cycle.

genus: g := 1/2(n + 1 - #(cycles)).

・ 何 ト ・ ヨ ト ・ ヨ ト ・ ヨ

#### Decorated trees

#### Definition

A C-decorated tree is a couple  $(T, \sigma)$ , where T is a tree with n+1 vertices and  $\sigma$  a *C*-permutation of size n + 1.



э

#### Decorated trees

#### Definition

A *C*-decorated tree is a couple  $(T, \sigma)$ , where *T* is a tree with n + 1 vertices and  $\sigma$  a *C*-permutation of size n + 1.



We can see the permutation as acting on the vertices of the tree by doing (for instance) a left-to-right depth-first traversal.

# Underlying graph

If we merge the vertices in the same cycles, we get a (rooted) graph called underlying graph C-decorated tree.



## Statement of the main result

Notations:

 $\mathcal{E}_g(n)$  set of maps with *n* edges of genus *g*  $\mathcal{T}_g(n)$  set of *C*-decorated trees with *n* edges of genus *g* 

Theorem

There is a bijection

$$\left[2^{n+1}\right] imes \mathcal{E}_g(n) \simeq \mathcal{T}_g(n)$$

which preserves the underlying graphs.

# Statement of the main result

Notations:

 $\mathcal{E}_g(n)$  set of maps with *n* edges of genus *g*  $\mathcal{T}_g(n)$  set of *C*-decorated trees with *n* edges of genus *g* 

Theorem

There is a bijection

$$[2^{n+1}] imes \mathcal{E}_g(n) \simeq \mathcal{T}_g(n)$$

which preserves the underlying graphs.

Proof.

True for g = 0.

We will see that the two sets fulfill the same induction relation on g. For unicellular maps, we use a previous construction of G. Chapuy.

# Chapuy's bijection (1/2)



• We want to decrease the genus without changing the number of edges;

- 3

< 日 > ( 一) > ( 二) > ( ( 二) > ( ( 二) > ( ( 1)

# Chapuy's bijection (1/2)



- We want to decrease the genus without changing the number of edges;
- From a map of genus g, we can obtain a map of genus g + 1 by merging 3 vertices.



# Chapuy's bijection (1/2)



- We want to decrease the genus without changing the number of edges;
- From a map of genus g, we can obtain a map of genus g + 1 by merging 3 vertices.



 $\triangle$  Mergings in maps are not well-defined (a lot of choices to do). The *unicellular* condition is not necessarily preserved when we glue 3 vertices ( $\rightarrow$  quite technical construction).

< 47 ▶

# Chapuy's bijection (2/2)

This defines a map

$$\mathcal{E}_{g}^{(3)}(n) \longrightarrow \mathcal{E}_{g+1}(n),$$

where  $\mathcal{E}_{g}^{(3)}(n)$  set of maps with *n* edges of genus *g* with 3 marked vertices.

V. Féray (with G. Chapuy and E. Fusy)

★撮♪ ★注♪ ★注♪ ……注

GT CÉA, 2012-01

# Chapuy's bijection (2/2)

This defines a map

$$\mathcal{E}_{g}^{(3)}(n) \longrightarrow \mathcal{E}_{g+1}(n),$$

where  $\mathcal{E}_{g}^{(3)}(n)$  set of maps with *n* edges of genus *g* with 3 marked vertices.

After a careful (and hard!) analysis, one can prove:

# Theorem (Chapuy, 2009) for g > 0 and n > 0,

$$[2g] \times \mathcal{E}_g(n) \simeq \mathcal{E}_{g-1}^{(3)}(n) + \mathcal{E}_{g-2}^{(5)}(n) + \mathcal{E}_{g-3}^{(7)}(n) + \dots + \mathcal{E}_0^{(2g+1)}(n).$$
(1)

V. Féray (with G. Chapuy and E. Fusy)

э

# Chapuy's bijection (2/2)

This defines a map

$$\mathcal{E}_{g}^{(3)}(n) \longrightarrow \mathcal{E}_{g+1}(n),$$

where  $\mathcal{E}_{g}^{(3)}(n)$  set of maps with *n* edges of genus *g* with 3 marked vertices.

After a careful (and hard!) analysis, one can prove:

#### Theorem (Chapuy, 2009)

for g > 0 and  $n \ge 0$ ,

$$[2g] \times \mathcal{E}_g(n) \simeq \mathcal{E}_{g-1}^{(3)}(n) + \mathcal{E}_{g-2}^{(5)}(n) + \mathcal{E}_{g-3}^{(7)}(n) + \dots + \mathcal{E}_0^{(2g+1)}(n).$$
(1)

In addition, if M and (M', S') are in correspondence, then the underlying graph of M is obtained from the underlying graph of M' by merging the vertices in S' into a single vertex.

Lemma

There is a bijection

 $\varphi: S_n \times \{-;+\} \simeq \{C\text{-permutation of } n\}.$ 

Description of the bijection on the example (4371562, -).

V. Féray (with G. Chapuy and E. Fusy)

A B K A B K

GT CÉA, 2012-01

3

Lemma

There is a bijection

 $\varphi: S_n \times \{-;+\} \simeq \{C\text{-permutation of } n\}.$ 

Description of the bijection on the example (4371562, -).

We cut the permutation at 1:

437 1562.

We would like the end to be a cycle of the C-permutation.

V. Féray (with G. Chapuy and E. Fusy)

GT CÉA, 2012-01

Lemma

There is a bijection

 $\varphi: S_n \times \{-;+\} \simeq \{C\text{-permutation of } n\}.$ 

Description of the bijection on the example (4371562, -).

We cut the permutation at 1:

437 1562.

We would like the end to be a cycle of the *C*-permutation.

Problem: it has even size! We move the second element (here 5) and record that operation with a - sign: we get

4375, -(162).

・ロト ・ 母 ト ・ ヨ ト ・ ヨ ト

Lemma

There is a bijection

$$\varphi: S_n \times \{-;+\} \simeq \{C\text{-permutation of } n\}.$$

Description of the bijection on the example (4371562, -).

4375, ~(162)

V. Féray (with G. Chapuy and E. Fusy)

▲撮♪ ▲ ヨ♪ ▲ ヨ♪ 二 ヨ

GT CÉA, 2012-01

Lemma

There is a bijection

 $\varphi: S_n \times \{-;+\} \simeq \{C\text{-permutation of } n\}.$ 

Description of the bijection on the example (4371562, -).

4375, -(162)

We cut the word at its new minimum (here 3):

4|375, -(162).

The second part is of odd size, we can consider it as a cycle of the C-permutation (we assign a + sign to it).

V. Féray (with G. Chapuy and E. Fusy)

11 / 16

Lemma

There is a bijection

$$\varphi: S_n \times \{-;+\} \simeq \{C\text{-permutation of } n\}.$$

Description of the bijection on the example (4371562, -).

V. Féray (with G. Chapuy and E. Fusy)

A B K A B K

GT CÉA, 2012-01

3

Lemma

There is a bijection

$$\varphi: S_n \times \{-;+\} \simeq \{C\text{-permutation of } n\}.$$

Description of the bijection on the example (4371562, -).

One more iteration, we get:

+(4) +(375) -(162).

V. Féray (with G. Chapuy and E. Fusy)

A B < A B </p>

11 / 16

GT CÉA, 2012-01

Lemma

There is a bijection

$$\varphi: S_n \times \{-;+\} \simeq \{C\text{-permutation of } n\}.$$

Description of the bijection on the example (4371562, -).

One more iteration, we get:

The first cycle has always a + sign! We assign to it the sign of the input instead.

$$\varphi((4371562, -)) = -(4) + (375) - (162)$$

・ 同 ト ・ ヨ ト ・ モ ト …

Take a C-permutation with a marked element.

+(16X) -(2783<u>5</u>49)

V. Féray (with G. Chapuy and E. Fusy)

GT CÉA, 2012–01

▲帰▶ ▲臣▶ ▲臣▶ ―臣 … のへで

Take a C-permutation with a marked element.

<sup>+</sup>(16*X*) <sup>-</sup>(2783<u>5</u>49)

Take the cycle containing the marked element and write it as a word beginning by the marked element.

(5492783, -)

V. Féray (with G. Chapuy and E. Fusy)

▲□ ▶ ▲ 臣 ▶ ▲ 臣 ▶ ○ 臣 ○ の Q @

12 / 16

GT CÉA, 2012–01

Take a C-permutation with a marked element.

 $^{+}(16X) ^{-}(2783549)$ 

Take the cycle containing the marked element and write it as a word beginning by the marked element.

(5492783, -)

Apply the previous bijection to this signed word.

+(5) +(497) -(283)

V. Féray (with G. Chapuy and E. Fusy)

◆□▶ ◆□▶ ◆豆▶ ◆豆▶ ̄豆 \_ のへで

GT CÉA, 2012–01

Take a C-permutation with a marked element.

<sup>+</sup>(16*X*) <sup>-</sup>(2783<u>5</u>49)

Take the cycle containing the marked element and write it as a word beginning by the marked element.

(5492783, -)

Apply the previous bijection to this signed word.

+(5) +(497) -(283)

Put this back in the C-permutations and mark the new cycle.

$$+(16X) +(5) +(497) -(283)$$

V. Féray (with G. Chapuy and E. Fusy)

◆□▶ ◆□▶ ◆豆▶ ◆豆▶ ̄豆 \_ のへで

GT CÉA, 2012–01

Take a C-permutation with a marked element.

<sup>+</sup>(16*X*) <sup>-</sup>(2783<u>5</u>49)

Take the cycle containing the marked element and write it as a word beginning by the marked element.

(5492783, -)

Apply the previous bijection to this signed word.

+(5) +(497) -(283)

Put this back in the C-permutations and mark the new cycle.

$$+(16X) +(5) +(497) -(283)$$

This is invertible!

V. Féray (with G. Chapuy and E. Fusy)

◆□▶ ◆□▶ ◆豆▶ ◆豆▶ 三回 - のへで

#### Notations

 $\mathcal{P}_g(n)$ : set of *C*-permutations of genus *g* and size *n*.  $\mathcal{P}_g^{(k)}(n)$ : idem with *k* marked cycles.

#### Corollary

There is a bijection

$$\varphi: [n+1] \times \mathcal{P}_g(n+1) \simeq \mathcal{P}_g^{(1)}(n+1)$$
$$\sqcup \mathcal{P}_g^{(3)}(n+1) \sqcup \cdots \sqcup \mathcal{P}_0^{(2g+1)}(n+1).$$

V. Féray (with G. Chapuy and E. Fusy)

#### Notations

 $\mathcal{P}_g(n)$ : set of *C*-permutations of genus *g* and size *n*.  $\mathcal{P}_g^{(k)}(n)$ : idem with *k* marked cycles.

#### Corollary

There is a bijection

$$\begin{aligned} \varphi: [n+1] \times \mathcal{P}_g(n+1) &\simeq \mathcal{P}_g^{(1)}(n+1) \\ & \sqcup \mathcal{P}_{g-1}^{(3)}(n+1) \sqcup \cdots \sqcup \mathcal{P}_0^{(2g+1)}(n+1). \end{aligned}$$

Moreover, the partition into cycles of x is obtained by merging the marked cycles in the partition of  $\varphi(x)$ .

#### Notations

 $\mathcal{P}_{g}(n)$ : set of *C*-permutations of genus *g* and size *n*.  $\mathcal{P}_{g}^{(k)}(n)$ : idem with *k* marked cycles.

#### Corollary

There is a bijection

$$\varphi: [n+1] \times \mathcal{P}_g(n+1) \simeq [n+1-2g] \times \mathcal{P}_g(n+1)$$
$$\sqcup \mathcal{P}_{g-1}^{(3)}(n+1) \sqcup \cdots \sqcup \mathcal{P}_0^{(2g+1)}(n+1).$$

Moreover, the partition into cycles of x is obtained by merging the marked cycles in the partition of  $\varphi(x)$ .

V. Féray (with G. Chapuy and E. Fusy)

#### Notations

 $\mathcal{P}_g(n)$ : set of *C*-permutations of genus *g* and size *n*.  $\mathcal{P}_g^{(k)}(n)$ : idem with *k* marked cycles.

#### Corollary

There is a bijection

$$arphi : [2g] imes \mathcal{P}_g(n+1) \simeq$$
  
 $\sqcup \mathcal{P}_{g-1}^{(3)}(n+1) \sqcup \cdots \sqcup \mathcal{P}_0^{(2g+1)}(n+1).$ 

Moreover, the partition into cycles of x is obtained by merging the marked cycles in the partition of  $\varphi(x)$ .

V. Féray (with G. Chapuy and E. Fusy)

★掃♪ ★注♪ ★注♪ ……注

GT CÉA, 2012–01

#### Notations $\mathcal{P}_g(n)$ : set of *C*-permutations of genus *g* and size *n*. $\mathcal{P}_g^{(k)}(n)$ : idem with *k* marked cycles.

Corollary

There is a bijection

Moreover, the partition into cycles of x is obtained by merging the marked cycles in the partition of  $\varphi(x)$ .

But  $\mathcal{T}_g(n) = \{ \text{arbres à } n \text{ sommets} \} \times \mathcal{P}_g(n+1).$ 

#### Notations

 $\mathcal{P}_g(n)$ : set of *C*-permutations of genus *g* and size *n*.  $\mathcal{P}_g^{(k)}(n)$ : idem with *k* marked cycles.

#### Corollary

There is a bijection

$$\Psi: [2g] imes \mathcal{T}_g(n) \simeq \mathcal{T}_{g-1}^{(3)}(n+1) \sqcup \cdots \sqcup \mathcal{T}_0^{(2g+1)}(n+1).$$

Moreover, the underlying graph of x is obtained by merging the marked vertices in the underlying graph of  $\Psi(x)$ .

GT CÉA, 2012-01

#### End of the proof

• Suppose that for all g' < g, there exists a underlying graph preserving bijection

$$[2^{n+1}]\mathcal{E}_{g'}(n)\simeq \mathcal{T}_{g'}(n)$$

#### End of the proof

 $\bullet\,$  Suppose that for all g' < g, there exists a underlying graph preserving bijection

$$[2^{n+1}]\mathcal{E}_{g'}(n)\simeq \mathcal{T}_{g'}(n)$$

• Using the decomposition of the previous slides,

$$[2g] \times [2^{n+1}] \times \mathcal{E}_g(n) \simeq [2g] \times \mathcal{T}_g(n),$$

with a bijection preserving underlying graphs.

#### End of the proof

 $\bullet\,$  Suppose that for all g' < g, there exists a underlying graph preserving bijection

$$[2^{n+1}]\mathcal{E}_{g'}(n)\simeq \mathcal{T}_{g'}(n)$$

• Using the decomposition of the previous slides,

$$[2g] \times [2^{n+1}] \times \mathcal{E}_g(n) \simeq [2g] \times \mathcal{T}_g(n),$$

with a bijection preserving underlying graphs.

 One has to extract a bijection from the 2g-to-2g correspondence above. This can be done using Hall marriage theorem ("not explicit!).

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 つへの

## Lehman-Walsh formula

#### Theorem (Lehman and Walsh, 1972)

The number of maps of genus g with n edges is given by

$$|\mathcal{E}_g(n)| = \frac{(2n)!}{n!(n+1-2g)!2^{2g}} \sum_{\gamma \vdash g} \frac{(n+1-2g)_\ell}{\prod_i m_i!(2i+1)^{m_i}},$$

where  $(x)_k = x(x-1)...(x-k)$ ,  $\ell$  is the number of parts of  $\gamma$ , and  $m_i$  is the number of parts of length *i* in  $\gamma$ .

#### Proof.

Look at the possible cycle types of a C-permutation of genus g. Details on the white board.

- ロ ト - 4 同 ト - 4 回 ト - 4 回 ト

## Lehman-Walsh formula

#### Theorem (Lehman and Walsh, 1972)

The number of maps of genus g with n edges is given by

$$|\mathcal{E}_g(n)| = \frac{(2n)!}{n!(n+1-2g)!2^{2g}} \sum_{\gamma \vdash g} \frac{(n+1-2g)_\ell}{\prod_i m_i!(2i+1)^{m_i}},$$

where  $(x)_k = x(x-1)...(x-k)$ ,  $\ell$  is the number of parts of  $\gamma$ , and  $m_i$  is the number of parts of length *i* in  $\gamma$ .

Remark: this is the first combinatorial proof of this formula. We obtain combinatorial proofs of a lot of formulae in a unified way (Harer-Zagier recurrence, Jackson summation, Goupil-Schaeffer formulae)

V. Féray (with G. Chapuy and E. Fusy)

GT CÉA, 2012–01

15 / 16

#### Open problems

- Find an explicit bijection.
- Read "some" information on the rotation system on the tree model, for example to count constellations (Poulhalon-Schaeffer formula).

V. Féray (with G. Chapuy and E. Fusy)

Unicellular maps are trees!

3