# Programming in python: permutations and trees

Mathilde Bouvel and Valentin Féray

## Outline

- 1 Presentation of the project
- 2 Definitions of permutations and trees
- 3 frec: A recursive function on permutations
- 4 stack: Processing a permutation with a stack
- **5** bintree: Building a (binary) tree from a permutation
- 6 inorder and postorder: Building permutations from a tree
- **7** Testing the functions, and stating conjectures
- 8 Further questions

Extras

Introduction

## The purpose of the project is to:

- define in python some basic combinatorial objects: permutations, trees;
- implement some combinatorial maps between them;
- run some examples and discover experimentally some theorems.

### Definition of permutations

A permutation is a list of positive integers with distinct entries.

## Examples and counter-examples

- $\blacksquare$  [2, 4, 3, 7, 5] and [1, 3, 4, 6, 2, 5] are permutations;
- $\blacksquare$  [3, -1, 2] and [.5, 2, 1] are not permutations because one of their entry is not a positive integer;
- $\bullet$  [6, 1, 3, 4, 3, 5] is not a permutation because there is a repetition.

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#### Task 1

Implement a function IsPermutation which takes some object and tests whether it is a permutation or not.

#### Recursive definition of trees

A (binary) tree is either the empty tree (represented by the python object None) or a triple  $(a, T_1, T_2)$ , where a is a positive integer and  $T_1$  and  $T_2$  are trees.

## Examples and counter-examples

(2, (3, (5, None, (7, None, None), None), (1, None, (6, None, None))) is a tree:

But (2, (3, 0, None), (1, None, (6, None, None))) is not a tree because 0 is not a tree and hence (3,0,None) is not a tree.

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#### Task 2

Implement a function IsTree which takes some object and tests whether it is a tree or not.

Definitions of permutations and trees

Introduction

## Graphical representation of a tree

The graphical representation G(T) of a non-empty tree  $(a, T_1, T_2)$  is defined as follows



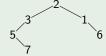
If one (or both)  $T_i$  is empty we erase the corresponding  $G(T_i)$  and the corresponding edge.

## Example of graphical representation of a tree

The graphical representation of

$$(2, (3, (5, None, (7, None, None)), None), (1, None, (6, None, None)))$$

is



#### Well-labelled trees

The label set Lab(T) of a tree is defined as:

- the empty set Ø if T is the empty tree None :
- the set  $\{a\} \cup Lab(T_1) \cup Lab(T_2)$  if  $T = (a, T_1, T_2)$ .

A tree is called well-labelled if it is empty or is  $T = (a, T_1, T_2)$ with  $T_1$  and  $T_2$  well-labelled and with  $\{a\}$ , Lab $(T_1)$ , Lab $(T_2)$ pairwise disjoint.

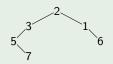
Intuitively, it means, that all labels on the graphical representation of the trees are distinct.

Definitions of permutations and trees

Definitions

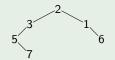
Introduction

## Example of a well-labelled tree



The tree here opposite is a well-labelled tree with label set  $\{1, 2, 3, 5, 6, 7\}$ ;

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#### Task 3

Write a function IsWellLabelled which decides whether a tree given as input is well-labelled or not.

We will now define five combinatorial maps between permutations and trees. Your tasks will be to implement them.

- frec and stack take as input a permutation and return another permutation.
  - The first one is recursive, while the second one is iterative.
- bintree is a recursive function, which takes as input a permutation and returns a well-labelled tree.
- inorder and postorder are also recursive functions, but take as input a well-labelled tree and return a permutation.

All these tasks are independent.

#### The function frec

frec is a recursive function on permutations defined by:

- frec([]) = []
- $\blacksquare$  if n is the maximum of a permutation P, writing  $P = L \cdot [n] \cdot R$ , we have:  $frec(L \cdot n \cdot R) = frec(L) \cdot frec(R) \cdot [n].$

$$frec(L \cdot n \cdot R) = frec(L) \cdot frec(R) \cdot [n]$$

Here, [] is the empty list and  $\cdot$  is the concatenation of lists.

## Example

$$frec([5,2,7,1]) = frec([5,2]) \cdot frec([1]) \cdot 7 = \cdots = [2,5,1,7].$$

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#### Example

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#### Task 4

Write a program that takes a permutation P as input, and returns as output frec(P).

#### The function stack

stack is a function on permutations defined iteratively as follows:

- (a) Take a permutation P as input.
- (b) Create an empty stack S, and an empty list Q. Comment: At the end of the procedure, Q will be the permutation stack(P).
- (c) While P is not empty:
  - (d) Consider the first element h of P.
  - (e) While S is not empty and h is larger than the top element of S:
    - (f) Pop the top element of S to the end of Q.
  - (g) Push h at the top of the stack S.
- (h) While S is not empty:
  - (i) Pop the top element of S to the end of Q.
- (i) Output Q.

Extras

stack: Processing a permutation with a stack

Introduction

Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]

stack: Processing a permutation with a stack

## Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]

$$[6,1,3,2,7,5,4] = P$$

This action correspond to step(s) (a) of the algorithm.

stack: Processing a permutation with a stack

of the algorithm.

Introduction

## Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]

$$Q = [$$

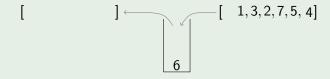
$$[6,1,3,2,7,5,4] = P$$

Extras

This action correspond to step(s) (b)

stack: Processing a permutation with a stack

## Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]



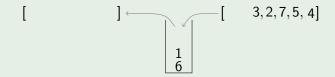
This action correspond to step(s) (d), (g)of the algorithm.

Definitions stack: Processing a permutation with a stack

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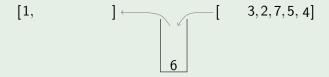
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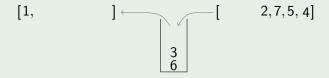


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stack

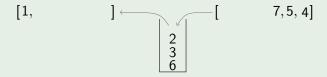


This action correspond to step(s) (g) of the algorithm.

Introduction

stack: Processing a permutation with a stack

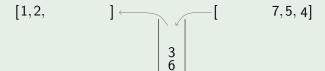
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Extras

Definitions



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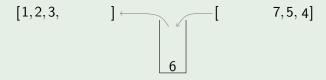
Introduction

Definitions stack: Processing a permutation with a stack

Introduction

## Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]

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stack: Processing a permutation with a stack

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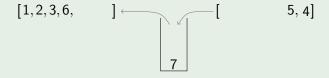
Extras

stack: Processing a permutation with a stack

Definitions

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stack



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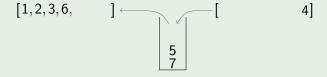
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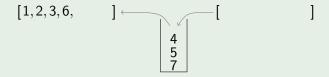
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Introduction

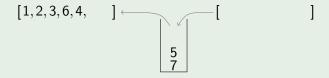
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stack: Processing a permutation with a stack

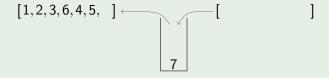
## Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]



This action correspond to step(s) (h), (i) of the algorithm.

stack: Processing a permutation with a stack

## Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]



This action correspond to step(s) (i) of the algorithm.

Extras

stack

Definitions

## Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]



This action correspond to step(s) (i) of the algorithm.

Introduction

stack: Processing a permutation with a stack

## Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]

Output [1, 2, 3, 6, 4, 5, 7]

This action correspond to step(s) (j) of the algorithm.

## Step by step behavior of stack on the input [6, 1, 3, 2, 7, 5, 4]

Output [1, 2, 3, 6, 4, 5, 7]

This action correspond to step(s) (i) of the algorithm.

#### Task 5

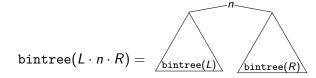
Write a program that takes a permutation P as input, and returns as output stack(P).

#### The function bintree

bintree (standing for *binary tree*) is a function which associate a tree to a permutation. It is recursively defined by:

- lacksquare bintree([]) = None
- if n is the maximum of a permutation P, writing  $P = L \cdot n \cdot R$ , we have:  $bintree(L \cdot n \cdot R) = (n, bintree(L), bintree(R))$ .

With the graphical representation of trees explained earlier, this means that



### Example

Introduction

For the permutation 
$$P = [8, 1, 9, 6, 3, 7, 4]$$
, we have bintree( $P$ ) =  $(9, bintree([8, 1]), bintree([6, 3, 7, 4])) = ...$  =  $(9, (8, None, (1, None, None)), (7, (6, None, (3, None, None)), (4, None, None)))$ .

Graphically, this means that bintree(
$$P$$
) =  $8 \frac{7}{1} \frac{6}{6} \frac{7}{3} \frac{4}{3}$ 

bintree: Building a (binary) tree from a permutation

### Example

Introduction

For the permutation 
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Graphically, this means that bintree(
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#### Task 6

Write a program that takes a permutation P as input, and returns the tree bintree(P) as output.

## Definition of inorder and postorder

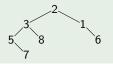
inorder and postorder are recursive functions, which associate a permutation to a well-labelled tree. They are defined as follows:

- inorder(None) = postorder(None) = []
- inorder $((a, T_1, T_2))$  = inorder $(T_1) \cdot [a] \cdot inorder(T_2)$
- $\blacksquare$  postorder $((a, T_1, T_2)) =$ postorder $(T_1)$  · postorder $(T_2)$  · [a]

inorder and postorder: Building permutations from a tree

## Example of inorder and postorder

Let T be the tree below.



Then

$$inorder(T) = [5, 7, 3, 8, 2, 1, 6]$$

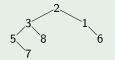
inorder and postorder

$$postorder(T) = [7, 5, 8, 3, 6, 1, 2]$$

Introduction

## Example of inorder and postorder

Let T be the tree below.



Then

$$\label{eq:final_condition} \begin{split} & \texttt{inorder}(\textit{T}) = [5, 7, 3, 8, 2, 1, 6] \\ & \texttt{postorder}(\textit{T}) = [7, 5, 8, 3, 6, 1, 2] \end{split}$$

inorder and postorder

#### Task 7

Write two programs that both take a well-labelled tree T as input, and return respectively the permutations inorder(T) and postorder(T) as output.

Testing the functions, and stating conjectures

#### Task 8

Introduction

Take several examples of permutations P (neither too short nor too easy) and do:

- compute inorder(bintree(P)) on these examples. What do you observe? Formulate a conjecture.
- compute frec(P), stack(P) and postorder(bintree(P)) on these examples. What do you observe? Formulate a second conjecture.

- So far, you have only tested your conjectures on a few examples chosen arbitrarily. Write a program which takes n as input and generates all permutations with entries  $1, 2, \ldots, n$ and test your conjectures on all permutations for n as big as possible (n = 10 can already take a long time on a standard computer!).
- Experimenting is good to get an intuition, but we have not proven anything so far. Can you prove your conjectures?
- Explain how inorder(T) and postorder(T) can be read directly on the graphical representation of the tree T.
- Try and draw the trees using sage (algebra software based on python). Run sage on www.sagenb.org or install it on your computer and look at the documentation of DiGraph by typing DiGraph? sage: