



# Gosper's Algorithm (Part 1)

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Formulas we'll use (helpful to write them down or take a screenshot)

$$z_{n+1} - z_n = t_n \quad (1)$$

$$r(n)y(n+1) - y(n) = 1 \quad (2)$$

$$r(n) = \frac{a(n)c(n+1)}{b(n)c(n)} \quad (3)$$

$$\gcd(a(n), b(n+h)) = 1 \quad (4)$$

$$y(n) = \frac{b(n-1)x(n)}{c(n)} \quad (5)$$

$$a(n)x(n+1) - b(n-1)x(n) = c(n) \quad (6)$$

# 1 Introduction

This algorithm allows us to do indefinite hypergeometric sums in simple closed form, or proves the impossibility of it. Let

$$s_n = \sum_{k=0}^{n-1} t_k$$

where  $t_k$  is a hypergeometric term not depending on  $n$ . Then

$$r(k) = \frac{t_{k+1}}{t_k}$$

is a rational function of  $k$ . Goal: express  $s_n$  in a closed form.

Note:  $s_{n+1} - s_n = t_n$ . We want to know if given  $t_n$  there exists a hypergeometric term  $z_n$  s.t.

$$z_{n+1} - z_n = t_n \tag{1}$$

Note that any such  $z_n$  will have the form

$$z_n = z_{n-1} + t_{n-1} = z_{n-2} + t_{n-2} + t_{n-1} = \dots = z_0 + \sum_{k=0}^{n-1} t_k = s_n + c$$

where  $c = z_0$  is a constant.

**Remark:** Given a hypergeometric term  $t_n$ , is there a hypergeometric term  $z_n$  satisfying  $z_{n+1} - z_n = t_n$ ?

If yes, then  $s_n$  can be expressed as a hypergeometric term plus a constant and the algorithm outputs such a term. In that case  $t_n$  is called Gosper-summable. If not, then that proves that it has no hypergeometric solution.

## 2 Hypergeometrics to rationals to polynomials

Let  $z_n$  be a hypergeometric term satisfying (1). Then

$$z_n = t_n \cdot \frac{1}{\frac{z_{n+1}}{z_n} - 1}$$

is a rational function of  $n$ . Let

$$z_n = y(n)t_n$$

where  $y(n)$  is a rational function of  $n$ . Substituting this for  $z_n$  in (1) shows us that  $y(n)$  satisfies

$$r(n)y(n+1) - y(n) = 1 \tag{2}$$

where  $r(n) = \frac{t_{n+1}}{t_n}$ . We have thus reduced the problem of finding hypergeometric solutions of (1) to finding rational solutions of (2).

Assume that we can rewrite

$$r(n) = \frac{a(n)c(n+1)}{b(n)c(n)} \quad (3)$$

where  $a(n), b(n), c(n)$  are polynomials in  $n$  and it holds that

$$\gcd(a(n), b(n+h)) = 1 \quad (4)$$

for all nonnegative integers  $h$ .

We are looking for a nonzero rational solution of (2) in the form

$$y(n) = \frac{b(n-1)x(n)}{c(n)} \quad (5)$$

where  $x(n)$  is an unknown rational function of  $n$ . As we substitute (3) and (5) into (2) we see that  $x(n)$  satisfies

$$a(n)x(n+1) - b(n-1)x(n) = c(n) \quad (6)$$

**Theorem:** Let  $a(n), b(n), c(n)$  be polynomials satisfying  $\gcd(a(n), b(n+h)) = 1$  for all nonnegative integers  $h$ . If  $x(n)$  is a rational function of  $n$  satisfying (6), then  $x(n)$  is a polynomial in  $n$ .

*Proof.* Outline of the proof: Proof by contradiction. Let  $x(n) = f(n)/g(n)$ ,  $f(n)$  and  $g(n)$  relatively prime polynomials in  $n$ . Rewrite (6) as

$$a(n)f(n+1)g(n) - b(n-1)f(n)g(n+1) = c(n)g(n)g(n+1)$$

$x(n)$  non-polynomial  $\implies g(n)$  non-constant polynomial

Let  $N$  be st.  $\gcd(g(n), g(n + N))$  a non-constant polynomial, let  $u(n)$  be a non-constant irreducible common divisor of  $g(n)$  and  $g(n + N)$ .

Then  $u(n + 1)|b(n + N)$  and  $u(n + 1)|a(n)$ .  $\implies u(n + 1)$  is a non-constant factor of both  $a(n)$  and  $b(n + N) \implies$  contradicts (4)  $\implies x(n)$  polynomial in  $n$ .  $\square$



If  $x(n)$  is a nonzero polynomial solution of (6), then

$$z_n = \frac{b(n-1)x(n)}{c(n)}t_n$$

is a hypergeometric solution of (1) and vice versa.

## Gosper's Algorithm Outline

INPUT: A hypergeometric term  $t_n$

OUTPUT: A hypergeometric term  $z_n$  satisfying (1) if one exists;  $\sum_{k=0}^{n-1} t_k$  otherwise.

1. Form the ration  $r(n) = t_{n+1}/t_n$  which is a rational function of  $n$ .
2. Write  $r(n) = \frac{a(n)c(n+1)}{b(n)c(n)}$  where  $a(n), b(n), c(n)$  are polynomials satisfying (4).
3. Find a nonzero polynomial solution  $x(n)$  of (6) if one exists; otherwise return  $\sum_{k=0}^{n-1} t_k$  and stop.
4. Return  $\frac{b(n-1)x(n)}{c(n)}t_n$  and stop.

**Example:** Let

$$S_m = \sum_{k=0}^m k^2 2^k$$

Let  $t_n = n^2 2^n$ . Then

$$r(n) = \frac{(n+1)^2 2^{n+1}}{n^2 2^n} = \frac{2(n+1)^2}{n^2}$$

The choices for  $a(n), b(n), c(n)$  are obvious, namely  $a(n) = 2, b(n) = 1, c(n) = n^2$ . It is easy to see that this choice satisfies (3) and (4). Equation (6) thus becomes

$$2x(n+1) - x(n) = n^2$$

Let  $x(n) = n(n - 4) + 6$ . Hence,

$$z_n = \frac{1 \cdot x(n) \cdot n^2 2^n}{n^2} = 2^n(n(n - 4) + 6)$$

which satisfies  $z_{n+1} - z_n = t_n$ . Finally,  $s_m = z_m - z_0 = 2^m(m^2 - 4m + 6) - 6$ , so the closed form we are looking for is

$$S_m = s_{m+1} = 2^{m+1}(m^2 - 2m + 3) - 6$$

### 3 The full algorithm: Step 2

Let  $r(n) = f(n)/g(n)$  where  $f(n)$  and  $g(n)$  are relatively prime polynomials.

If  $\gcd(f(n), g(n+h)) = 1$  then  $a(n) = f(n), b(n) = g(n), c(n) = 1$  gives the desired factorization.

Otherwise let  $u(n)$  be a non-constant common factor of  $f(n)$  and  $g(n+h)$  for some nonnegative integer  $h$ . Let  $f(n) = \bar{f}(n)u(n)$  and  $g(n) = \bar{g}(n)u(n-h)$ . Then

$$r(n) = \frac{f(n)}{g(n)} = \frac{\bar{f}(n)u(n)}{\bar{g}(n)u(n-h)}$$

**Defintion:** Given a polynomial  $p(x) = a_n x^n + \dots + a_0$  of degree  $n$  and a polynomial  $q(x) = b_m x^m + \dots + b_0$  of degree  $m$ , the resultant is defined as the determinant of their Sylvester Matrix.

Let  $R(h)$  denote the resultant of  $f(n)$  and  $g(n + h)$ . Then  $R(h)$  is a polynomial in  $h$  with the property that  $R(\alpha) = 0$  if and only if  $\gcd(f(n), g(n + \alpha))$  is not a constant polynomial.  $\implies$  values of  $h$  that violate (4) are the nonnegative integer zeros of  $R(h)$ .

## Gosper's Algorithm Step 2

2.1. Let  $r(n) = Z \frac{f(n)}{g(n)}$  where  $f, g$  are monic relatively prime polynomials and  $Z$  is a constant.

$$R(h) := \text{Resultant}_n(f(n), g(n+h));$$

Let  $S = \{h_1, h_2, \dots, h_N\}$  be the set of nonnegative integer zeros of  $R(h)$ . ( $N \geq 0, 0 \leq h_1 < h_2 < \dots < h_N$ ).

2.2.  $p_0(n) := f(n); q_0(n) := g(n);$  for  $j = 1, 2, \dots, N$  do

$$s_j(n) := \text{gcd}(p_{j-1}(n), q_{j-1}(n+h_j));$$

$$p_j(n) := p_{j-1}(n)/s_j(n);$$

$$q_j(n) := q_{j-1}(n)/s_j(n-h_j).$$

$$a(n) := Zp_N(n);$$

$$b(n) := q_N(n);$$

$$c(n) := \prod_{i=1}^N \prod_{j=1}^{h_i} s_i(n-j).$$

**Example:** Same example as before,  $r(n) = \frac{2(n+1)^2}{n^2}$ . Take  $Z = 2$ ,  $f(n) = (n+1)^2$ ,  $g(n) = n^2$  and note that  $f(n)$  and  $g(n)$  are relatively prime polynomials. Then

$$R(h) = \text{Resultant}_n(f(n), g(n+h)) = (h-1)^4$$

Clearly, the only nonnegative integer zero is  $h = 1$ . Hence,  $s_1(n) = (n+1)^2$ ,  $p_1(n) = 1$ ,  $q_i(n) = 1$  which gives us  $a(n) = 2$ ,  $b(n) = 1$ ,  $c(n) = n^2$ .



**Remark:** We can compute directly that the three polynomials produced by this algorithm satisfy condition (3). To show that they also satisfy condition (4), we can note that by definition of  $p_j$ ,  $q_j$ , and  $s_j$ ,

$$\gcd(p_k(n), q_k(n + h_k)) = \gcd\left(\frac{p_{k-1}(n)}{s_k(n)}, \frac{q_{k-1}(n + h_k)}{s_k(n)}\right) = 1$$

for all  $k$  s.t.  $1 \leq k \leq N$ .

# Gosper's Algorithm Example

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Load the module "gosper":

```
(Debug) In[198]:= << "gosper.m"
```

N.B.: Besides GosperSum and GosperFunction, this

package also contains FactorialSimplify (alias FS), and WZ.

Find the ratio  $r(n)$ :

```
(Debug) In[199]:= GetRatio[n^2*2^n, n]
```

```
(Debug) Out[199]=
```

$$\frac{2(1+n)^2}{n^2}$$

Find  $y(n) = b(n-1)x(n)/c(n)$ :

```
(Debug) In[200]:= GosperFunction[2(1+n)^2/n^2, n]
```

```
(Debug) Out[200]=
```

$$\frac{6-4n+n^2}{n^2}$$

Multiply this  $y(n)$  with  $t_n$  to get  $z_n$ :

```
(Debug) In[201]:= n^2*2^n*GosperFunction[2(1+n)^2/n^2, n]
```

```
(Debug) Out[201]=
```

$$2^n(6-4n+n^2)$$

Find our Sum by setting  $S_n = s_{n+1} = z_{n+1} - z_0$ :

```
(Debug) In[202]:= 2^(n+1)(6-4(n+1)+(n+1)^2) - 2^0(6-4*0+0^2)
```

```
(Debug) Out[202]=
```

$$-6 + 2^{1+n}(6-4(1+n)+(1+n)^2)$$

```
(Debug) In[203]:= Simplify[%]
```

```
(Debug) Out[203]=
```

$$-6 + 2^{1+n}(3-2n+n^2)$$

Find the Gosper Sum directly:

```
(Debug) In[204]:= GosperSum[k^2*2^k, {k, 0, n}]
```

```
(Debug) Out[204]=
```

$$-6 + 2^{1+n}(3-2n+n^2)$$