

Asymptotics for skew standard Young tableaux via bounds for characters

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(joint work with Jehanne Dousse)

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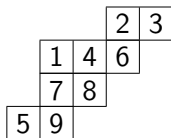
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Zürich^{UZH}

Outline of the talk

Denote $f^{\lambda/\mu}$ the number of **standard Young tableaux** of skew shape λ/μ .

General question: how does $f^{\lambda/\mu}$ behave when $|\lambda|, |\mu| \rightarrow \infty$?

Wide question: answer depends on the “shapes” of λ and μ (do they have large rows/columns? are they balanced?), the relative size of λ and μ .

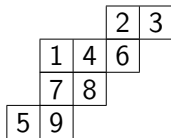


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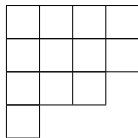


Our result: estimates/upper bound for *balanced* diagrams, when $|\mu| \ll |\lambda|$.

Main tool: bounds on symmetric group characters (F., Śniady, '11).

Basic definitions

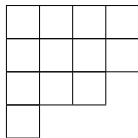
- A **partition** $\lambda = (\lambda_1, \dots, \lambda_\ell)$ of n is a nonincreasing list of nonnegative integers of sum $|\lambda| = n$;
- It is identified with its **Young diagram**, formed by left-aligned row of boxes, with λ_1 boxes in the 1st row, λ_2 in the second, and so on...



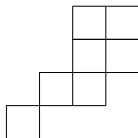
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Convention: $n := |\lambda|$, $k := |\mu|$



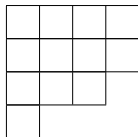
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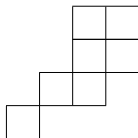
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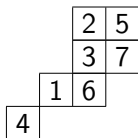
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- The **skew diagram** λ/μ is the collection of boxes that are in λ , but not in μ ;
Convention: $n := |\lambda|$, $k := |\mu|$
- A **standard Young tableau** (SYT) of (skew) shape λ/μ is a filling of λ/μ with integers from 1 to $|\lambda/\mu|$ with increasing rows and columns.
- $f^{\lambda/\mu}$ is the number of SYT of shape λ/μ .



$$\lambda = (4, 4, 3, 1)$$



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SYT of shape λ/μ

Straight tableaux

Theorem (Hook formula, Frame, Robinson, Thrall, '54)

For a straight shape λ ,

$$f^\lambda = n! \prod_{\square \in \lambda} h(\square)^{-1}$$

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hook lengths

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hook lengths

Asymptotics: Let λ be a diagram with at most $L\sqrt{n}$ rows and columns (called *balanced*). Most hook-lengths are of order $\Theta(\sqrt{n})$.

$$\begin{aligned} \log(f^\lambda) &= \log(n!) - \frac{1}{2}n \log(n) - \sum_{\square \in \lambda} \log\left(\frac{h(\square)}{\sqrt{n}}\right) \\ &= \frac{1}{2}n \log(n) + \mathcal{O}(n). \end{aligned}$$

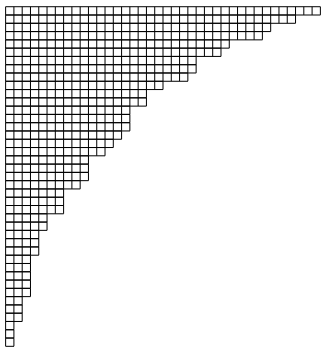
The \mathcal{O} term can be written as an integral over the “limit shape” of λ .

Motivation from discrete probability theory

Plancherel measure on the set of Young diagrams of size n :

$$\mathbb{P}(\lambda) = \frac{(f^\lambda)^2}{n!}$$

(Vershik-Kerov, Logan-Shepp, '77) The limit shape is the one that maximizes the $\mathcal{O}(n)$ term in the previous slide.



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Fix a *straight* shape λ and consider a **uniform standard tableau** T of shape λ (Romik-Pittel, Biane, Śniady, Sun, ...). Let $T^{(k)}$ be the diagram formed by boxes with entries at most k in T . Then

$$\mathbb{P}(T^{(k)} = \mu) = \frac{f^{\lambda/\mu} f^\mu}{f^\lambda}.$$

→ we need the asymptotics of $f^{\lambda/\mu}$.

Asymptotics for $|f^{\lambda/\mu}|$: previous results

- (Kerov, Stanley independently): μ fixed, $\frac{\lambda_i}{n} \rightarrow \alpha_i$, $\frac{\lambda'_i}{n} \rightarrow \beta_i$,

$$f^{\lambda/\mu} \sim f^\lambda s_\mu(\alpha | -\beta | \gamma),$$

where $s_\mu(\alpha | -\beta | \gamma)$ is a super Schur function (definition later) and $\gamma = 1 - \sum \alpha_i - \sum \beta_i$.

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(Consequence for a uniform random Young tableau T of shape λ :

$$\mathbb{P}(T^{(k)} = \mu) \sim \frac{(f^\mu)^2}{|\mu|!}.$$

In words: fixed size truncations are asymptotically Plancherel distributed.)

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- (Morales-Pak-Panova-Tassy): various results for $k, n - k = \Theta(n)$, all of the form

$$\log(f^{\lambda/\mu}) = \frac{1}{2} |\lambda/\mu| \log(|\lambda/\mu|) + \mathcal{O}(n),$$

with description of the \mathcal{O} term.

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with description of the \mathcal{O} term.

→ we will consider intermediate ranges between μ fixed and $|\mu| = \Theta(\lambda)$.

Our results

For simplicity, we assume λ and μ balanced. We set $A_{\lambda/\mu} := k! \frac{f^{\lambda/\mu}}{f^\lambda f^\mu}$.

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Theorem (Dousse, F., '17)

- 1 if $k = o(n^{1/3})$, then $A_{\lambda/\mu} = 1 + \mathcal{O}\left(k^{3/2}n^{-1/2}\right)$.
- 2 if $k = o(n^{1/2})$, then $A_{\lambda/\mu} \leq \exp\left[\mathcal{O}\left(k^{3/2}n^{-1/2}\right)\right]$.
- 3 if $k = \omega(n^{1/2})$, then $A_{\lambda/\mu} \leq \exp\left[k \log \frac{k^2}{n} + \mathcal{O}(k)\right]$.

$R = \mathcal{O}(f(k, n))$ should be understood as follows: there exists a constant $C = C(L)$ such that $|R| \leq C f(|\mu|, n)$ for any λ and μ with at most $L\sqrt{n}$ (resp. $L\sqrt{|\mu|}$) rows and columns.

How to get asymptotics for $f^{\lambda/\mu}$?

- No multiplicative formula in general;
For some family of skew-shapes, $f^{\lambda/\mu}$ admits a product formula
→ convenient to see if a bound is sharp/make conjectures, but not to prove bounds. . .

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- Recent “additive” [hook formula for skew shapes](#) (Naruse), used in this context by Morales-Pak-Panova-Tassy.
- We will use [representation theory](#) instead (as Kerov-Stanley).

Branching rule and $f^{\lambda/\mu}$

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- **Branching rule:** restricting V_λ to $S_{n-1} \subseteq S_n$ we get:

$$\rho_\lambda / S_{n-1} \simeq \bigoplus_{\nu: \nu \nearrow \lambda} \rho_\nu.$$

$\nu \nearrow \lambda$ means $\nu \subseteq \lambda$ and $|\nu| = |\lambda| - 1$.

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$$\rho_\lambda / S_k \simeq \bigoplus_{\substack{\nu^{(0)}, \dots, \nu^{(r-1)} \\ \nu^{(0)} \nearrow \dots \nearrow \lambda}} \rho_{\nu^{(0)}}$$

Sequences $\mu = \nu^{(0)} \nearrow \dots \nearrow \nu^{(r)} = \lambda$ correspond to SYT of shape λ/μ .

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Corollary (Stanley, '01): $f^{\lambda/\mu} = \frac{1}{k!} \sum_{\sigma \in S_k} \chi^\lambda(\sigma) \chi^\mu(\sigma)$.

χ^λ : character (=trace) of the representation ρ_λ .

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→ use **asymptotic results for character values** to get asymptotics for $f^{\lambda/\mu}$.

Warm up: fixed k asymptotics (Kerov-Stanley)

We start from $f^{\lambda/\mu} = \frac{1}{k!} \sum_{\sigma \in \mathcal{S}_k} \chi^\lambda(\sigma) \chi^\mu(\sigma)$.

If k is fixed, the number of terms in the sum is fixed and $\chi^\mu(\sigma)$ is fixed.

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where $\rho(\sigma)$ is the cycle-type of σ

$$\text{and } p_k(\boldsymbol{\alpha} | - \boldsymbol{\beta} | \boldsymbol{\gamma}) := \sum_i \alpha_i^k - \sum_i (-\beta_i)^k + \delta_{k,1} \gamma.$$

(In particular $p_1(\boldsymbol{\alpha} | - \boldsymbol{\beta} | \boldsymbol{\gamma}) = 1$ since $\gamma := 1 - \sum_i \alpha_i - \sum_i \beta_i$.)

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Consequence:

$$\lim_{n \rightarrow \infty} \frac{f^{\lambda/\mu}}{f^\lambda} = \frac{1}{k!} \sum_{\sigma \in S_k} \chi^\mu(\sigma) p_\rho(\sigma)(\boldsymbol{\alpha} | - \boldsymbol{\beta} | \gamma) =: s_\mu(\boldsymbol{\alpha} | - \boldsymbol{\beta} | \gamma).$$

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- (Biane, '98) if λ is balanced,

$$\frac{\chi^\lambda(\sigma)}{f^\lambda} \sim C n^{-|\sigma|/2},$$

where $|\sigma|$ is the number of transpositions needed to factorize σ (sometimes called absolute or reflection length).

(If σ is in S_m , then $m - |\sigma|$ is the number of cycles of σ .)

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Consequence (the term $\sigma = \text{id}$ dominates asymptotically):

$$\frac{f^{\lambda/\mu}}{f^\lambda} = \frac{f^\mu}{k!} + \mathcal{O}(n^{-1/2})$$

Bounds on symmetric group characters

When k also grows to $+\infty$, we need bounds on characters on varying σ .

Theorem (F.-Šniady, '11)

There exists a constant $a > 1$, such that for every partition $\nu \vdash m$ and every permutation $\sigma \in S_m$,

$$\left| \frac{\chi^\nu(\sigma)}{f^\nu} \right| \leq \left[a \max \left(\frac{r(\nu)}{m}, \frac{c(\nu)}{m}, \frac{|\sigma|}{m} \right) \right]^{|\sigma|}.$$

$r(\nu)$, $c(\nu)$: numbers of rows and columns of ν , respectively.

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When ν is balanced, there are two regimes:

- if $|\sigma| = \mathcal{O}(\sqrt{m})$, then $\frac{\chi^\nu(\sigma)}{f^\nu} = \mathcal{O}(m^{-|\sigma|/2})$;
- if $|\sigma| = \omega(\sqrt{m})$, then $\frac{\chi^\nu(\sigma)}{f^\nu} = \mathcal{O}((|\sigma|/m)^{-|\sigma|})$.

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- For fixed $|\sigma|$, the bound is optimal up to a multiplicative constant.
- For large $|\sigma|$, it's very bad: LHS is known to be at most 1, while the RHS grows exponentially in m .

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and apply the previous bound on characters.

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- We have $|\sigma| \leq k = o(n^{1/2})$, so we always have $\left(\frac{\chi^\lambda(\sigma)}{f^\lambda} \right) = \mathcal{O}(n^{-|\sigma|/2})$;
- for $\left(\frac{\chi^\mu(\sigma)}{f^\mu} \right)$, we need to split the sum into 2 parts, depending on whether $|\sigma| \leq \sqrt{k}$ or not.

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- for $\left(\frac{\chi^\mu(\sigma)}{f^\mu} \right)$, we need to split the sum into 2 parts, depending on whether $|\sigma| \leq \sqrt{k}$ or not.

$$A_{\lambda/\mu} = S_1 + S_2 \text{ with } \begin{cases} |S_1| \leq \sum_{|\sigma| \leq \sqrt{k}} \left(\frac{aL}{\sqrt{n}} \right)^{|\sigma|} \left(\frac{aL}{\sqrt{k}} \right)^{|\sigma|}; \\ |S_2| \leq \sum_{|\sigma| > \sqrt{k}} \left(\frac{aL}{\sqrt{n}} \right)^{|\sigma|} \left(\frac{a|\sigma|}{k} \right)^{|\sigma|}. \end{cases}$$

Proof that $A_{\lambda/\mu} \leq \exp \left[\mathcal{O} \left(k^{3/2} n^{-1/2} \right) \right]$ for $k = o(n^{1/2})$

We start from

$$A_{\lambda/\mu} = k! \frac{f^{\lambda/\mu}}{f^\lambda f^\mu} = \sum_{\sigma \in \mathcal{S}_k} \left(\frac{\chi^\lambda(\sigma)}{f^\lambda} \right) \left(\frac{\chi^\mu(\sigma)}{f^\mu} \right)$$

and apply the previous bound on characters.

- We have $|\sigma| \leq k = o(n^{1/2})$, so we always have $\left(\frac{\chi^\lambda(\sigma)}{f^\lambda} \right) = \mathcal{O}(n^{-|\sigma|/2})$;
- for $\left(\frac{\chi^\mu(\sigma)}{f^\mu} \right)$, we need to split the sum into 2 parts, depending on whether $|\sigma| \leq \sqrt{k}$ or not.

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We need to control the number of σ with a given value of $|\sigma|$.

Proof that $A_{\lambda/\mu} \leq \exp \left[\mathcal{O} \left(k^{3/2} n^{-1/2} \right) \right]$ for $k = o(n^{1/2})$

Lemma (F., Śniady, '11)

For all $k, i \in \mathbb{N}$, we have

$$\# \{ \sigma \in \mathcal{S}_k : |\sigma| = i \} \leq \frac{k^{2i}}{i!}.$$

Proof:

$$\begin{aligned} \# \{ \sigma \in \mathcal{S}_k : |\sigma| = i \} &= [x^i](x+1) \cdots ((k-1)x+1) \\ &\leq [x^i](kx+1)^k = \binom{k}{i} k^i \leq \frac{k^{2i}}{i!}. \quad \square \end{aligned}$$

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Similarly, S_2 can be bound by the sum of a convergent geometric series

$$\sum_{i > \sqrt{k}} (C'kn^{-1/2})^i \approx (C'kn^{-1/2})^{\sqrt{k}}. \quad \square$$

Improving the bounds?

- We proved: when $k = o(n^{1/2})$,

$$A_{\lambda/\mu} \leq \exp \left[\mathcal{O} \left(k^{3/2} n^{-1/2} \right) \right].$$

Moreover, we can find families of shapes λ/μ with $k = n^\alpha$, (for various $\alpha \in (0, 1/2)$) for which $\log(A_{\lambda/\mu})$ is of order $\Theta(k^{3/2} n^{-1/2})$.
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→ This bound is “sharp”.

- When $k = \omega(n^{1/2})$, we proved $A_{\lambda/\mu} \leq \exp \left[k \log \frac{k^2}{n} + \mathcal{O}(k) \right]$.
Experimentally, $\log(A_{\lambda/\mu})$ is again at most of order $\Theta(k^{3/2} n^{-1/2})$.

Improving the bounds?

Conjecture (Dousse, F. , '17)

There exists $C = C(L)$ such that for any balanced λ and μ , we have

$$\exp[-C k^{3/2} n^{-1/2}] \leq A_{\lambda/\mu} \leq \exp[C k^{3/2} n^{-1/2}],$$

- For $k = o(n^{1/3})$, this corresponds to our result;
- For $k = o(n^{1/2})$, we only have the upper bound;
- For $k = \omega(n^{1/2})$, we only have a weaker upper bound (and no lower bound).

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- Assume $k = \omega(n^{1/2})$. Call $U_R(\sigma, \nu)$ (resp. $U_{LS}(\sigma, \nu)$ and $U_{F\check{S}}(\sigma, \nu)$) the upper bounds of Roichman (resp. Larsen-Shalev and F.-Šniady) for $\left| \frac{\chi^\nu(\sigma)}{f^\nu} \right|$ and set

$$U_{\text{best}}(\sigma, \nu) = \min (U_R(\sigma, \nu), U_{LS}(\sigma, \nu), U_{F\check{S}}(\sigma, \nu)),$$

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Proposition (Dousse, F., '17)

$$\sum_{\sigma \in \mathcal{S}_k} U_{\text{best}}(\sigma, \lambda) U_{\text{best}}(\sigma, \mu) \geq \exp \left[k \log \frac{k^2}{n} + \mathcal{O}(k) \right]$$

→ even combining various bounds from the literature does not improve our result.

Symmetric shapes

Conjecture (Dousse, F.)

There exists $C = C(L)$ such that for any balanced λ and μ with either $\lambda' = \lambda$ or $\mu' = \mu$, we have

$$\exp[-Ck^2n^{-1}] \leq A_{\lambda/\mu} \leq \exp[Ck^2n^{-1}].$$

- Surprising from a combinatorial point of view; why does the symmetry of λ or μ change something?
- We can prove it for fixed k , but not even for $k = o(n^{1/3})$. It would require sharper bounds on self-conjugate characters.

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Thank you for your attention!