An asymptotic bijection and a scaling limit result for fixed genus factorizations of a long cycle

Valentin Féray joint work with Baptiste Louf and Paul Thévenin

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Context

We consider

$$\mathscr{F}_n^g = \left\{ (t_1, \dots, t_{n-1+2g}) \text{ transpositions in } S_n : t_1 \dots t_{n-1+2g} = (12 \dots n) \right\},$$

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Question (Hurwitz, 1891)

Compute $h_{g,n} := |\mathscr{F}_n^g|$.

Remark: $h_{g,n}$ is a particular case of Hurwitz number. It has a more geometric interpretation as the number of genus g covering of the sphere with given types of ramification points (up to isomorphism).

(Asymptotic) enumeration of \mathscr{F}_n^g

Dénes ('59) solved the case g=0: $|\mathscr{F}_n^0|=n^{n-2}$ (bijective proofs given later by Moszkowski '89, Goulden–Pepper '93, Goulden–Yong '02, Biane '05).

General case (Jackson '88, Shapiro–Shapiro–Vainshtein '97, Poulhalon–Schaeffer '02):

$$h_{g,n} = \frac{n^{n-2+2g}}{2^{2g}} \sum_{\ell=0}^{g} \binom{n-1+2g}{\ell+2g} \sum_{\substack{\mu \vdash g \\ \ell(\mu)=\ell}} \frac{1}{\operatorname{Aut}(\mu)} \binom{\ell+2g}{2\mu_1+1,\ldots,2\mu_\ell+1}.$$

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Proofs use representation theory, no combinatorial proof is known!

In particular, for fixed g, as n tends to $+\infty$,

$$h_{g,n}\sim\frac{n^{n-2+5g}}{24^gg!}.$$

Main results

For fixed g > 0, as n tends to $+\infty$, we obtain:

1 An "asymptotic bijection" proving the asymptotic formula $\frac{n-2+5\sigma}{2}$

$$h_{g,n} \sim \frac{n^{n-2+5g}}{24^g g!};$$

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Main results

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- **1** An "asymptotic bijection" proving the asymptotic formula $h_{g,n} \sim \frac{n^{n-2+5g}}{24^g g \cdot 1}$;
- ② A scaling limit result for a uniform random element in $\mathscr{F}_n^{\mathcal{E}}$.

Motivations:

- We need to understand the combinatorial structure (1) in order to analyze random elements (2);
- For (2): there is a large literature on random product of transpositions: independent transpositions, minimal factorizations into adjacent transpositions (sorting networks), . . .
- Connections with (random) combinatorial maps;
- An asymptotic bijection could be a first step towards finding a bijection. . .

Our asymptotic bijection Λ (1/2)

We start with:

- a factorization $F = (t_1, ..., t_{n-1+2(g-1)})$ of (1, ..., n) genus g-1;
- a pair of positions (v, w) in [1, n-1+2g] with v < w;
- a triple of values (a, b, c) in [1, n] with a < b < c;

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Step 1. We define

$$\overline{F}_1 = (t_1, t_2, \dots, t_{v-1}, (ac), t_v, \dots, t_{w-2}, (ab), t_{w-1}, \dots, t_{n-1+2(g-1)});$$

$$\overline{F}_2 = (t_1, t_2, \dots, t_{v-1}, (ab), t_v, \dots, t_{w-2}, (ac), t_{w-1}, \dots, t_{n-1+2(g-1)}).$$

Easy claim: \overline{F}_1 and \overline{F}_2 are either long cycles or product of three cycles.

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Lemma (F.-Louf-Thévenin, '21)

For almost all (F, v, w, a, b, c), exactly one of \overline{F}_1 and \overline{F}_2 is a factorization of a long cycle (but not of (1, ..., n) in general!).

Our asymptotic bijection Λ (2/2)

Step 2. Take the \overline{F}_i which is a factorization of a long cycle, say ζ , and conjugate all transpositions in \overline{F}_i to turn it into a factorization of $(1,\ldots,n)$. Namely, let σ be such that $\sigma(1)=1$ and $\sigma^{-1}\zeta\sigma=(1\cdots n)$ and let $\overline{F}_i=\tau_1,\ldots,\tau_{n-1+2g}$;

We set $\Lambda(F, v, w, a, b, c) := (\sigma^{-1}\tau_1\sigma, ..., \sigma^{-1}\tau_{n-1+2g}\sigma)$.

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 $\begin{array}{l} \Lambda(F,v,w,a,b,c) \text{ is a genus } g \text{ factorization of } (1,\ldots,n). \text{ In other words, } \Lambda \\ \text{maps (almost all) } \mathscr{F}_n^{g-1} \times {[1,n-1+2g] \choose 2} \times {[1,n] \choose 3} \text{ to } \mathscr{F}_n^g. \end{array}$

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There exists subsets $\mathscr{A}_{g-1,n} \subset \mathscr{F}_n^{g-1} \times {[1,n-1+2g] \choose 2} \times {[1,n] \choose 3}$ and $\mathscr{C}_{g,n} \subset \mathscr{F}_n^g$ of asymptotic proportion 1 such that

$$\Lambda: \mathcal{A}_{g-1,n} \ \longrightarrow \ \mathcal{C}_{g,n}$$

is a surjective 2g-to-1 mapping.

Recovering the asymptotic enumeration of \mathscr{F}_n^g

Recall our theorem:

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$$\lim_{n \to +\infty} \frac{|\mathcal{A}_{g-1,n}|}{\frac{n^5}{12}|\mathcal{F}_n^{g-1}|} = 1, \qquad \lim_{n \to +\infty} \frac{|\mathcal{C}_{g,n}|}{|\mathcal{F}_n^g|} = 1, \qquad |\mathcal{A}_{g-1,n}| = 2g |\mathcal{C}_{g,n}|,$$

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from which we get $\frac{|\mathscr{F}_n^g|}{|\mathscr{F}^{g-1}|} \sim \frac{n^5}{24g}$. An easy induction from $|\mathscr{F}_n^0| = n^{n-2}$ gives

$$|\mathscr{F}_n^g| \sim \frac{n^{n-2+5g}}{24gg!}.$$

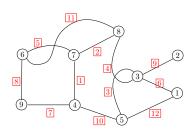
Encoding factorizations through maps (1/3)

1 Start with the following factorization in \mathscr{F}_9^2 :

(47)(78)(35)(38)(67)(13)(49)(69)(23)(45)(68)(15).

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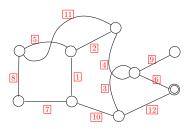
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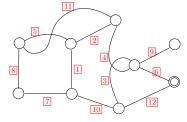
- ② For each transposition $\tau_i = (j, k)$, we draw an edge $\{j, k\}$ with label i. (Around each vertex, edges are oriented counterclockwise in increasing order of their labels.)
- Soot the map at vertex 1 and forget vertex labels.



Encoding factorizations through maps (2/3)

Claim: we can recover the vertex labels (and hence the factorization) from

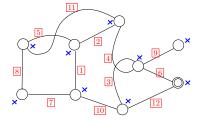
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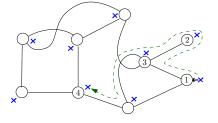


• Find the corner of each vertex which is between the incident edges of minimal and maximal labels (called special corner).

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- Find the corner of each vertex which is between the incident edges of minimal and maximal labels (called special corner).
- Start at the special corner of the root, turn around the map and label vertices from 1 to n in increasing order when crossing their special corner.

NB: to label all vertices, the map must be unicellular!

Encoding factorizations through maps (3/3)

Definition

A Hurwitz map is an edge-labelled map such that around each vertex, edges are oriented counterclockwise in increasing order of their labels (Hurwitz condition).

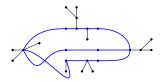
Theorem (Irving, '04)

The construction in the previous slide is a bijection from \mathscr{F}_n^g to the set \mathscr{H}_n^g of vertex-rooted unicellular Hurwitz maps with n vertices and genus g.

Typical structure of unicellular (Hurwitz) maps

Lemma

Take g fixed and n large. Typically, most vertices of a unicellular (Hurwitz) maps in \mathcal{H}_n^g are outside its 2-core.

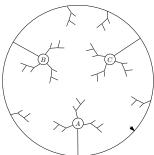


The 2-core of a map

Proof by analytic combinatorics: one can decompose maps (with a marked vertex) as a skeleton where we attach trees. . .

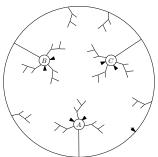
Adding 2 edges to increase the genus

Here is a schematic representation of a Hurwitz map with three marked vertices: the outer circle is the 2-core which has been unfolded (recall that the map is unicellular).



Adding 2 edges to increase the genus

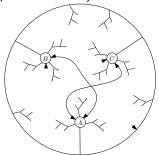
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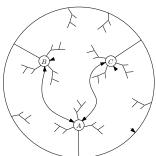


We want to add two edges $\{A; B\}$ and $\{A, C\}$ with labels v and w between these three vertices. Because of the Hurwitz condition, we need to add them at specific corners of A, B and C (we do not know which corner corresponds to v, and which to w!).

Adding 2 edges to increase the genus

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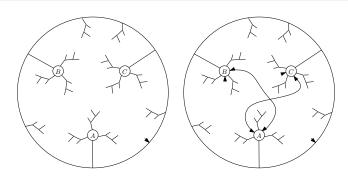




There are two possibilities: one gives a unicellular map, one does not.

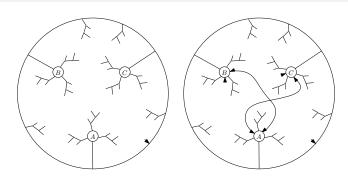
 \longrightarrow exactly one of \overline{F}_1 and \overline{F}_2 is a factorization of a long cycle.

Back to permutations



 Adding two edges {A; B} and {A, C} with labels v and w in the map corresponds in adding the transposition (ab) and (ac) at positions v and w;

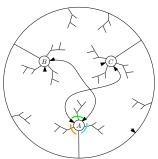
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- Adding two edges {A; B} and {A, C} with labels v and w in the map corresponds in adding the transposition (ab) and (ac) at positions v and w;
- The contour order of the face is changing. Hence, the vertex labels are changing, which explain the conjugation in our asymptotic bijection on factorizations.

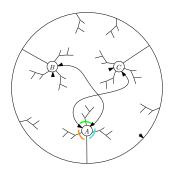
How to invert the construction? (1/2)

Step 1: identify A. When turning around the unique face of the map, the corners of A are not visited in counterclockwise order (in our picture, they are visited in the blue-red-green order). Such a vertex is called a trisection (Chapuy, '09).



How to invert the construction? (2/2)

When A is identified, it is typically easy to know which edges have been added (edges adjacent to A that belong to the 2-core, but not the first visited one).



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→ the number of pre-image is typically the number of trisections.

Lemma (Chapuy, '09)

The number of trisections^a in a unicellular map is 2g.

 \rightarrow this explains why the map Λ is typically a 2g-to-1 correspondance.

^aCounted with multiplicities but, typically there is no multiplicities.

First application: sampling

Let F be a (random) factorization in \mathscr{F}_n^{g-1} . We set

$$\Lambda(F) = \Lambda(F, \mathbf{v}, \mathbf{w}, \mathbf{a}, \mathbf{b}, \mathbf{c}),$$

where v, w, a, b, c are taken uniformly at random.

Proposition (F.-Louf-Thévenin, '21)

Let \mathbf{F}_n^0 and \mathbf{F}_n^g be uniform random factorizations of (1,...,n) of genera 0 and g, respectively. Then

$$\lim_{n\to\infty} d_{TV}(\mathbf{\Lambda}^g(\mathbf{F}_n^0), \mathbf{F}_n^g) = 0.$$

Reminder: total variation distance between random variables taking values in a discrete set S

$$d_{TV}(X,Y) = \frac{1}{2} \sum_{k \in S} |\mathbb{P}[X=k] - \mathbb{P}[Y=k]|.$$

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 \mathbf{F}_n^0 is easy to sample in linear time. The proposition gives an algorithm to sample a asymptotically uniform genus g factorization in linear time.

Simulation



A random factorization of size n = 1000 and genus g = 1 generated by our algorithm.

Here a transposition (a, b) is encoded by a chord $[\exp(-2\pi i \, a/n), \exp(-2\pi i \, b/n)].$

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Question

What is the scaling limit of (the set of chords corresponding to) F_n^g ?

Scaling limit in the case g = 0

Theorem (F., Kortchemski, '18, Thévenin, '21)

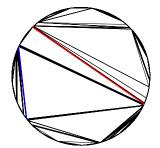
The set of chords associated to \mathbf{F}_n^0 converges in distribution to Aldous' Brownian triangulation (for the Hausdorff distance on compact subsets of the disk).

What is Aldous' Brownian triangulation?

Start from a Brownian excursion \mathbb{X}_{∞} and draw a chord $[e^{-2\pi i\,s},e^{-2\pi i\,t}]$ for

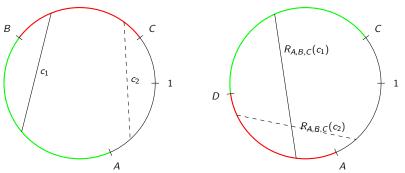
each tunnel (s,t) in \mathbb{X}_{∞} .





Scaling limit in higher genus g > 0 (1/2)

We introduce a rotation operation on (set of) chords. Informally, given three points A,B,C on the circle, $R_{A,B,C}$ "swaps" the arcs of circles AB and BC.

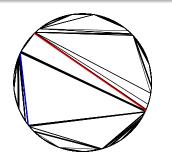


Taking \boldsymbol{A} , \boldsymbol{B} and \boldsymbol{C} uniformly at random, we denote \boldsymbol{R} the corresponding rotation.

Scaling limit in higher genus g > 0 (2/2)

Theorem (F., Louf, Thévenin, '21)

The set of chords associated with \mathbf{F}_n^g converges in distribution to $\overline{\mathbf{R}^g(\mathbb{L}_\infty)}$, where \mathbb{L}_∞ is Aldous' Brownian triangulation.



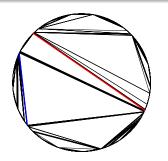


(Left) Brownian triangulation; (Right) a realization of ${\it F}_{1000}^1$.

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Note: we have a "process version" of the result of – where chords are added one at the time, in the order in which they appear in the factorization.

Proof strategy

• We can replace \mathbf{F}_n^g by $\mathbf{\Lambda}^g(\mathbf{F}_n^0)$; since \mathbf{F}_n^0 converges to the Brownian triangulation, we need to understand the "effect" of Λ .

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Lemma (see next slide for a heuristics)

Define

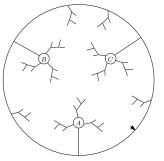
$$\widetilde{\sigma}(j) = \begin{cases} j & \text{if } j \le a \text{ or } j > c; \\ j + c - b & \text{if } a < j \le b; \\ j - b + a & \text{if } b < j \le c. \end{cases}$$

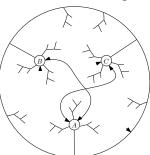
Then $\sigma(j) = \widetilde{\sigma}(j) + \mathcal{O}_P(1)$, except for j in a set of size $\mathcal{O}_P(1)$.

 \Rightarrow Conjugating a transposition by σ acts as $R_{A,B,C}$ on the associated chord.

The relabeling permutation σ

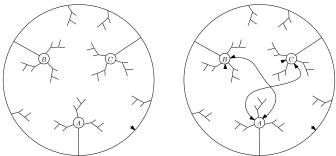
On Hurwitz maps, our asymptotic bijection consists in adding two edges;





The relabeling permutation σ

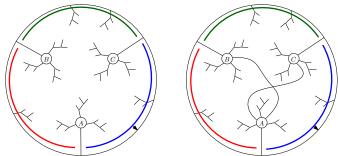
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To go from Hurwitz maps to permutation factorizations, we need to label vertices following the unique face of the map.

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Key observation. the contour order of the face changes: blue-red-green on the left and blue-green-red on the right (one can prove that pending trees are of size $\mathcal{O}_P(1)$). $\Rightarrow \sigma$ is close to $\widetilde{\sigma}$.

Thank you for your attention!