

Enumerative combinatorics of intervals in the Dyck pattern poset

Antonio Bernini¹ Matteo Cervetti² Luca Ferrari¹

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Outline of the Talk

Enumerative
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- The Dyck pattern poset and former related results.

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- The problem of enumerating saturated chains in the Dyck pattern poset.

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- The Dyck pattern poset and former related results.
- The problem of enumerating saturated chains in the Dyck pattern poset.
- The number of elements and covering relations in the interval $[UD, P]$ for very specific classes of Dyck paths P .

The Dyck Pattern Poset

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Definition

Given two Dyck paths P and Q , we say that P is a **pattern** in Q and we write $P \leq Q$ when P is a (non necessarily consecutive) subword of Q . Any sequence of positions in Q whose corresponding subword equals P is called an **occurrence** of P in Q . The resulting poset is called the **Dyck pattern poset** and it will be denoted by $\mathcal{D}$.

The Dyck Pattern Poset

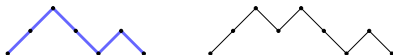
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The Dyck pattern **UUDDUD** is a pattern in the Dyck path UUDUDDUD.



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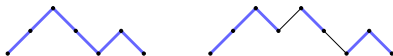
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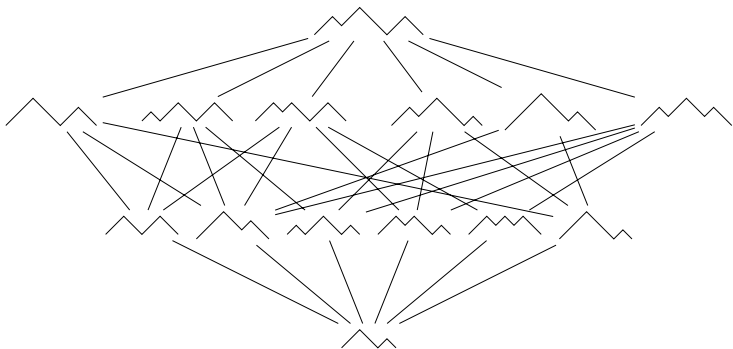


Figure: The Hasse diagram of the interval $[UUDDUD, UUDUUDDDUDD]$ in the Dyck pattern poset.

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- For a general Dyck path P , a recursive method to find the generating function of the class of P -avoiders is known and this generating function turns out to be rational.
- For a general Dyck path P , an asymptotic formula for the number of P -avoiders is known.

Saturated Chains

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Let $\mathcal{P}$ be a poset and $\ell \in \mathbb{N}$. Recall that a *saturated chain of length ℓ* in $\mathcal{P}$ is a sequence $(x_0, x_1, \dots, x_\ell)$ of $\ell + 1$ elements of $\mathcal{P}$ such that $x_0 \prec x_1 \prec \dots \prec x_\ell$, where $\prec$ denotes the covering relation of $\mathcal{P}$.

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Goal of the Talk

In this talk we will be concerned with the study of $s_0[UD, P]$ and $s_1[UD, P]$ for some specific classes of Dyck paths P .

The Size of the Interval $[UD, (UD)^n]$

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Our first goal is to find an explicit formula for the number of elements of the interval $[UD, (UD)^n]$ where $n \geq 0$.

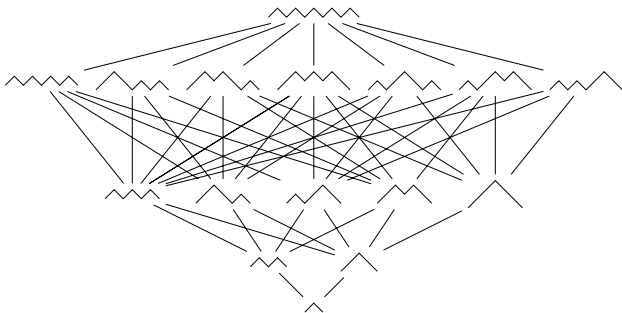


Figure: The Hasse diagram of the interval $[UD, (UD)^5]$ in the Dyck pattern poset, thus $s_0[UD, (UD)^5] = 16$.

A characterization of the patterns in $(UD)^n$

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For a Dyck path P denote with $\text{asc}(P)$ the number of ascents of P (where an *ascent* of a Dyck path is a maximal consecutive substring of P of the form U^m for some $m \geq 1$), which is also the number of peaks of P . The next lemma characterizes the patterns P in $(UD)^n$ in terms of $\text{asc}(P)$.

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Lemma

Let $n \geq 1$, $1 \leq k \leq n$ and P be a Dyck path of semilength k . Then $P \leq (UD)^n$ if and only if $\text{asc}(P) \geq 2k - n$.

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Example

According to the previous criterion $P = U^3 D^2 U D^2 U D \leq (UD)^7$ because P has semilength 5, furthermore $\text{asc}(P) = 7$, therefore $\text{asc}(P) \geq 2 \cdot 5 - 7$.

A proof by example

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Let's describe how to construct an occurrence of P in $(UD)^7$.

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Let's describe how to construct an occurrence of P in $(UD)^7$.

- Since P begins with 3 U steps, choose the first 3 U steps available in $(UD)^7$.

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- Since P continues with 2 D steps, choose the first 2 D steps available in $(UD)^7$ after the previous choice.

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- Continue this process as long as you can.

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Let's describe how to construct an occurrence of P in $(UD)^7$.

- Since P begins with 3 U steps, choose the first 3 U steps available in $(UD)^7$.



- Since P continues with 2 D steps, choose the first 2 D steps available in $(UD)^7$ after the previous choice.



- Continue this process as long as you can.
- Convince yourself that this process terminates running out of all the letters of P if and only if $P \leq (UD)^7$ and that this is the case precisely when $\text{asc}(P) \geq 2k - n$.

Recall that, for $n \geq 1$ and $1 \leq k \leq n$, the Dyck paths of semilength n and having k ascents are counted by the *Narayana number* $N_{n,k} = \frac{1}{n} \binom{n}{k} \binom{n}{k-1}$. Therefore we obtain the following formulas.

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Proposition

Suppose $n \geq 1$ and $1 \leq k \leq n$, then

(i)

$$s_0^{(k)}[UD, (UD)^n] = \sum_{m=\max\{1, 2k-n\}}^k N_{k,m}$$

(ii)

$$s_0[UD, (UD)^n] = \sum_{k=1}^n \sum_{m=\max\{1, 2k-n\}}^k N_{k,m}$$

Sequence $(s_0^{(k)}[UD, (UD)^n])_{n \geq k \geq 0}$ appears as A137940 in OEIS. The first few lines of the associated triangle are recorded below:

$n \backslash k$	1	2	3	4	5	6	7	8	9
1	1								
2	1	1							
3	1	2	1						
4	1	2	4	1					
5	1	2	5	7	1				
6	1	2	5	13	11	1			
7	1	2	5	14	31	16	1		
8	1	2	5	14	41	66	22	1	
9	1	2	5	14	42	116	127	29	1

The sequence $(s_0[UD, (UD)^n])_{n \geq 0}$ of the sizes of the interval $[UD, (UD)^n]$ starts 1, 2, 4, 8, 16, 33, 70, 152, 337 and is not recorded in OEIS.

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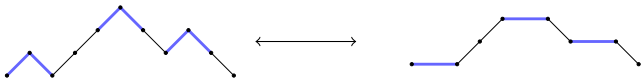
Remark

The interval $[UD, (UD)^n]$ is in bijection with the set of peak-less Motzkin paths of length at most n .

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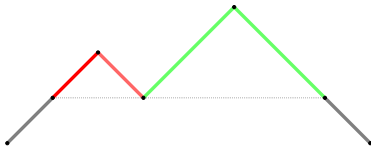


The size of the interval $[UD, U^{a+h}D^aU^bD^{b+h}]$

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For $1 \leq a \leq b$ and $h \geq 1$ denote by $Q_{a,b}^{(h)}$ the generic Dyck path with two peaks $U^{a+h}D^aU^bD^{b+h}$.

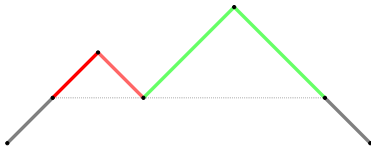


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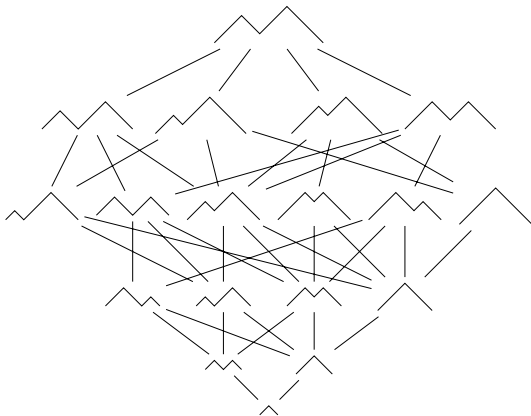


Figure: The Hasse diagram of the interval $[UD, Q_{2,3}^{(1)}]$ in the Dyck pattern poset, thus $s_0[UD, Q_{2,3}^{(1)}] = 18$.

Denote by $\varphi_h(a, b)$ the number of Dyck paths having exactly two peaks in the interval $[UD, Q_{a,b}^{(h)}]$.

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Lemma

Let $1 \leq a \leq b$, then

$$\varphi_0(a, b) = \sum_{k=1}^{a-1} (a-k)(b-k) = \frac{a(a+1)(3b-a+1)}{6}.$$

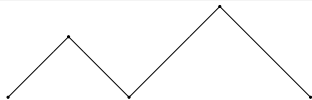
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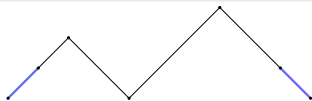
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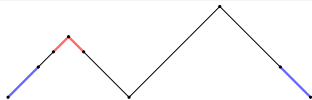
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Let $1 \leq a \leq b$, then

$$\varphi_0(a, b) = \sum_{k=1}^{a-1} (\textcolor{red}{a} - \textcolor{red}{k})(b - k) = \frac{a(a+1)(3b-a+1)}{6}.$$

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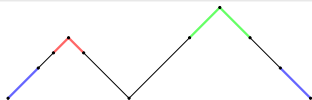
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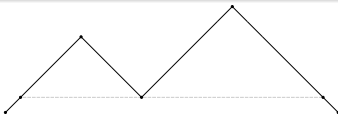
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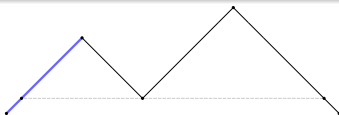
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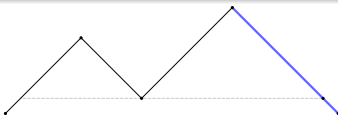
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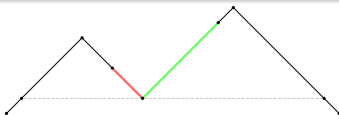
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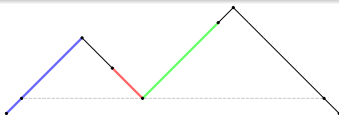
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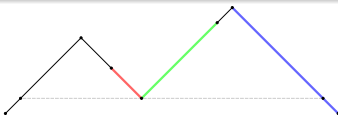
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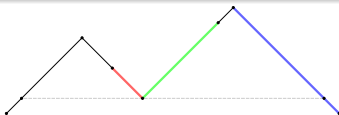
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Proposition

Let $1 \leq a \leq b$ and $h \geq 0$, then

$$s_0[UD, Q_{a,b}^{(h)}] = \frac{a(a+1)(3b-a+1)}{6} + hab + b.$$

The previous results can be refined by counting the number of elements in the interval $[UD, Q_{a,b}^{(h)}]$ with semilength r .

Proposition

Let $1 \leq a \leq b$, $h \geq 0$ and $2 \leq r \leq a + b + h$, then

$$\varphi_h^{(r)}(a, b) = \sum_{i=\max\{1, r-b-h\}}^{\min\{a, r-1\}} \min\{r-i, b\} - \max\{a, r-a-h-1\}$$

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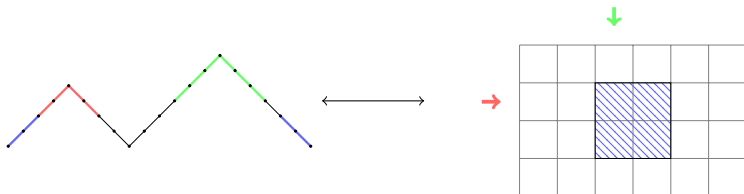
$$\begin{aligned} \varphi_h^{(r)}(a, b) &= \sum_{i=\max\{1, r-b-h\}}^{\min\{a, r-1\}} \min\{r-i, b\} - \max\{a, r-a-h-1\} \\ &= \begin{cases} \binom{r}{2} & 2 \leq r \leq a+1 \\ ab - a(r-a-h-1) - \binom{a+b-r+1}{2} + \binom{b+1-r}{2} & a < b, a+2 \leq r \leq b+h \\ (a+b+h-r+1)^2 - \binom{a+b-r+1}{2} & b+h+1 \leq r \leq a+b+h \end{cases} \end{aligned}$$

Remark

The triangular array determined by $(\varphi_0(a, b))_{1 \leq a \leq b}$ is sequence A082652 in OEIS, which counts the numbers of squares that can be found in an $a \times b$ rectangular grid.

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$$Q_{i,j}^{(k)} \longleftrightarrow [i, i+k] \times [j, j+k]$$

Number of edges in the Hasse diagram of the interval $[UD, U^a D^a U^b D^b]$

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the Dyck
pattern poset

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Our last goal is to present a formula for the number of edges in the Hasse diagram of the interval $[UD, Q_{a,b}^{(0)}]$.

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Lemma

Let $(i, j, k) \in \mathbb{N}^3$, then the number of Dyck paths covered by $Q_{i,j}^{(k)}$ is

$$\begin{cases} 0 & (i, j, k) \in \{(0, 0, 0), (1, 0, 0), (0, 1, 0), (0, 0, 1)\} \\ 1 & (i, j, k) \in \{(1, 1, 0), (i, 0, k), (0, j, k) : i + k, j + k \geq 2\} \\ 2 & (i, j, k) \in \{(i, 1, 0), (1, j, 0), (1, 1, k) : i, j \geq 2, k \geq 1\} \\ 3 & (i, j, k) \in \{(i, j, 0), (i, 1, k), (1, j, k) : i, j \geq 2, k \geq 1\} \\ 4 & (i, j, k) \in \{(i, j, k) : i, j \geq 2, k \geq 1\} \end{cases}$$

Now we can count the edges in the Hasse diagram of the interval $[UD, Q_{a,b}^{(0)}]$ as follows

$$s_1[UD, Q_{a,b}^{(0)}] = \sum_{n \geq 0} \Delta_n \cdot n$$

where Δ_n denotes the number of Dyck paths in the interval $[UD, Q_{a,b}^{(0)}]$ covering exactly n Dyck paths.

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Proposition

Let $1 \leq a \leq b$, then

$$s_1[UD, Q_{a,b}^{(0)}] = -\frac{1}{3}(2a^3 - 6a^2b + a - 3b + 3).$$

Final Remarks

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- The problem of enumerating the saturated chains in an interval of the Dyck pattern poset seems to be very difficult at this level of generality.

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- It seems more reasonable to hope for some insight at least for the size of intervals in the Dyck pattern poset.

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- The problem of enumerating the saturated chains in an interval of the Dyck pattern poset seems to be very difficult at this level of generality.
- It seems more reasonable to hope for some insight at least for the size of intervals in the Dyck pattern poset.
- Some data suggest that, for a fixed rank k , the size of an interval $[P, Q]$ of rank k in the Dyck pattern poset is polynomial in the semilength of Q .

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- Very little is known about the Moebius function of the Dyck pattern poset.
- This class of problems does not seem to have been investigated yet in the case of the permutation pattern poset.

Thank you!